

Attorney Docket No: IMMR-023/04US

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of David ALEXANDER et al.

Serial No.: 10/657,145

Examiner: *Unassigned*

Confirmation No.: 1899

Art Unit: 2871

Filed: September 9, 2003

For: **INTERFACE DEVICE AND METHOD FOR INTERFACING  
INSTRUMENTS TO MEDICAL PROCEDURE SIMULATION SYSTEMS**

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U.S. Patent and Trademark Office  
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Arlington, VA 22202

### REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the Patent and Trademark Office in the above-identified application for which issuance of a corrected filing receipt is respectfully requested.

The errors to be corrected are as follows:

1. **Please replace the continuing data as claimed by applicant as follows:**

**This Application is a DIV of 09/237,969 01/27/1999 which claims benefit of  
Provisional Application No. 60/072,672 01/28/1998 and claims benefit of Provisional  
Application No. 60/105,661 10/26/1998 and claims benefit of Provisional Application  
No. 60/116,545 01/21/1999**

A copy of the Filing Receipt with required changes indicated in red ink is enclosed. In addition, enclosed is a copy of the executed Declaration filed on September 9, 2003, which includes a reference to the appropriate priority claims.


The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication or credit any overpayment to Deposit Account 50-1283:

- ☒ Any national application filing fees under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Dated: January 9, 2003

Cooley Godward LLP  
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Respectfully submitted,  
**COOLEY GODWARD LLP**

By:   
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Christopher R. Hutter  
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**UNITED STATES PATENT AND TRADEMARK OFFICE**

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| APPL NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/657,145 | 09/09/2003                | 2871     | 786           | IMMR023/04US    | 14       | 22       | 3        |

**CONFIRMATION NO. 1899**

22903  
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ATTN: PATENT GROUP  
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ONE FREEDOM SQUARE- RESTON TOWN CENTER  
RESTON, VA 20190-5061

**FILING RECEIPT**



\*OC000000011438101\*

Date Mailed: 12/08/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

David Alexander, Purcellville, VA;  
J. Michael Brown, Washington, DC;  
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Philip J. Churchill, Silver Spring, MD;  
Robert F. Cohen, Burtonsville, MD;  
Richard L. Cunningham, Arlington, VA;  
Ben Feldman, McLean, VA;

**Assignment For Published Patent Application**

Immersion Medical, Inc.;

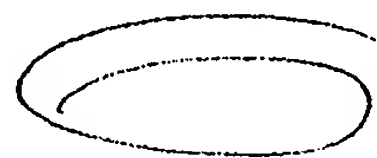
**Domestic Priority data as claimed by applicant**

This application is a DIV of 09/237,969 01/27/1999 WHICH CLAIMS BENEFIT OF PROVISIONAL APPLICATION NO. 60/072,672 01/28/1998 AND CLAIMS BENEFIT OF PROVISIONAL APPLICATION NO. 60/105,661 10/26/1998 AND CLAIMS BENEFIT OF PROVISIONAL APPLICATION NO. 60/116,545 01/21/1999  
~~which is a GIP of 08/923,477 09/04/1997 PAT 6,106,301~~  
~~which claims benefit of 60/025,433 09/04/1996~~

**Foreign Applications**

If Required, Foreign Filing License Granted: 12/08/2003

Projected Publication Date: 03/18/2004



**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Interface device and method for interfacing instruments to medical procedure simulation systems

**Preliminary Class**

434

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Interface Device and Method for Interfacing Instruments  
to Medical Procedure Simulation Systems

the specification of which

       is attached hereto

  X   was filed on January 27, 1999

as United States Application Serial No. 09/237,969

and was amended on                      (If applicable).

I hereby state that I have reviewed and understand the contents of the above identified application, including the specification and claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 (a) - (d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. NONE

Prior Foreign Application(s)

Priority Claimed

                      
(Application Number)

                      
(Country)

                      
(Day/Month/Year Filed)

        
Yes

        
No

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

60/116,545  
(Application Number)

January 21, 1999  
(Filing Date)

60/105,661  
(Application Number)

October 26, 1998  
(Filing Date)

60/072,672  
(Application Number)

January 28, 1998  
(Filing Date)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

|                   |                          |                                       |
|-------------------|--------------------------|---------------------------------------|
| <u>08/923,477</u> | <u>September 4, 1997</u> | <u>Pending</u>                        |
| (Application SN)  | (Filing Date)            | Status (patented, pending, abandoned) |

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith:

Ira C. Edell, Reg. No. 24,119;  
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Aaron B. Retzer, Reg. No. 27,671;  
Karen M. Gerken, Reg. No. 31,161;  
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Stuart B. Shapiro, Reg. No. 40,169;  
J. Andrew McKinney, Jr., Reg. No. 34,672; and  
Patrick J. Finnan, Reg. No. 39,189.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



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